TO: Dr. Rex D. Ramsier, Executive Vice President and Chief Administrative Officer
FROM: Dr. Elizabeth A. Kennedy, Interim Dean, College of Health Professions
RE: Bargaining unit faculty retention, tenure and promotion guideline revisions
DATE: January 14, 2019

The attached bargaining unit faculty retention, tenure and promotion guidelines were revised and approved by the School of Speech-Language Pathology and Audiology faculty and administration, and the College Dean's office.

Upon approval by the Office of Academic Affairs, as dated herein, these guidelines will be effective for all School of Speech-Language Pathology and Audiology bargaining unit faculty.

______________________________  1/14/19
Coddie C., Ph.D. 
RTP Guidelines Revision Committee Chair  

______________________________  1-14-2019  
Jim Steiger, Ph.D.  
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Elizabeth A. Kennedy  
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Executive Vice President and Chief Administrative Officer  

College of Health Professions

School of Speech-Language Pathology and Audiology

Reappointment, Tenure, and Promotion Guidelines for Tenure Track Bargaining Unit Faculty

and

Reappointment and Promotion Guidelines for Non-Tenure Track Bargaining Unit Faculty

Approved by School Faculty on January 14, 2019
Introduction

The UA-Akron AAUP Collective Bargaining Agreement (CBA) contains processes, timelines and procedures for the Reappointment, Tenure and Promotion (RTP) of tenure-track (TT) bargaining unit faculty and reappointment and promotion (RP) of non-tenure-track (NTT) bargaining unit faculty, and should be referred to for such matters. This document serves to enumerate the minimum criteria for RTP of TT faculty and RP of NTT faculty relevant to the discipline(s) represented in the academic unit listed above. These criteria may include quantitative and/or qualitative measures. Meeting the minimum criteria outlined in these guidelines does not guarantee a positive reappointment or tenure or promotion recommendation. Nothing contained in this document can conflict with the CBA or University rules.

Tenure-Track (TT) RTP Guidelines and Criteria

1. Materials for the RTP file: TT faculty

Specific materials outlined below, in addition to those already specified in the CBA, shall be included in the TT’s candidate’s RTP file:

A. Teaching

Quantitative evidence of effective instruction shall be documented by means of:

- Data from university-approved student evaluations.

Qualitative evidence of effective instruction shall be documented by means of:

- Observations of online, classroom, or clinical teaching by peers who are at the applicant’s same rank or higher;
- Peer evaluation of one or more of the following: course syllabi, bibliographies, assignments, tests/examinations, evidence of case-based teaching, technology-based instruction materials, clinical reports, or other instructional materials, as appropriate for the faculty member.

Additional documentation may be provided in the form of:

- Outside peer reviewers’ letters, as stipulated in the CBA;
- Documented assessment of learner outcomes, such as student performance on the national certification examination;
- Outside peer observation reports;
- Submission of online, multimedia, and/or distance-based teaching materials;
- Submission of a self-evaluation;
• Submission of awards and/or commendations;
• Evidence of effective advising;
• Evidence of appropriate curricular development;
• Evidence of effective administration and supervision of part-time faculty, graduate and/or student assistants, staff or others, when applicable;
• Other documents deemed appropriate by the candidate.

B. Research/scholarly activity

Quantitative evidence of scholarly activity shall be demonstrated by means of:

• Copies of publications in refereed professional journals;
• Copies of professional/scholarly presentations at local, state, national, and international workshops, institutes, conferences, symposia, and conventions.

Qualitative evidence of scholarly activity shall also be provided in the form of:

• Peer evaluations which address the quality of the candidate’s scholarly work by individuals knowledgeable in the area of scholarship.

Qualitative evidence of scholarly activity may also be provided in the form of:

• Copies of publications in professionally-related non-refereed journals, magazines, newsletters, etc;
• Copies of other academic and creative works, such as the publication of academic texts, therapy materials, computer programs, websites, Listservs, etc;
• Copies of application for and/or receipt of research grants;
• Submission of copies of letters of acceptance and/or galley prints of work accepted for publication;
• Evidence of, or hyperlinks to, scholarly works in the literature of the field;
• Peer reviews of the efficiency or effectiveness of creative products or works;
• Evidence of service as editor, panel chair, and/or editorial reviewer;
• Commercialization: Product development and dissemination;
• Other documents deemed appropriate by the candidate.

C. Service

Qualitative evidence of quality service shall be demonstrated by:

• Documentation of service at the school, college, community, university and/or professional levels.
Qualitative evidence of quality service may be documented by:

- Submission of committee reports to demonstrate fulfillment of committee responsibilities;
- Acceptance of committee assignments;
- Holding an appointed or elected office;
- Evidence of service as a consultant or expert witness for discipline-related matters;
- Results of evaluations from professionals who are familiar with the candidate’s service activity;
- Letters of commendations, thanks, and/or acknowledgement for services;
- Evidence of participation in activities related to accreditation;
- Dean, School director, and/or peer evaluation of service;
- Successful development work.

2. Annual Reappointment Criteria

Clear and specific measures of performance and indications of progress toward tenure are outlined below.

General guidelines:

1. The Reappointment Committee shall conduct its review of the candidate with an emphasis on the candidate’s progress toward tenure. Candidates shall be examined with greater scrutiny in each successive year of the reappointment deliberations.
2. The Reappointment Committee’s recommendation letters to the candidate and other faculty and administrative reviewers, as applicable, shall contain an explicit assessment of the candidate’s strengths and weaknesses, if any. In the event of a perceived weakness, the Committee shall recommend a plan to give the candidate an opportunity to correct any deficiencies before the time of application for tenure.
3. It is the responsibility of a tenure-track-candidate for reappointment to provide evidence that he or she shall be able to meet the criteria for tenure and promotion to Associate Professor (if applicable) at the end of the probationary period.

A. Teaching

During each year of reappointment, the candidate shall provide the following documentation.

Quantitative evidence:

- Median “overall” scores from student evaluations for each class and/or clinic indicating progress toward the minimum ratings discussed below for the question, “Overall, the instructor was an excellent teacher.”
Qualitative evidence:

- A minimum of one observation per academic year of online, classroom, or clinical teaching by peers who are at the applicant's same rank or higher. A majority of peer evaluations must include satisfactory reports. Peer evaluators who observe teaching will need to be approved by the RTP committee in advance;
- For each academic year, a peer evaluation of one or more of the following: course syllabi, bibliographies, assignments, tests/examinations, evidence of case-based teaching, technology-based instruction materials, clinical reports, or other instructional materials, as appropriate for the faculty member. A majority of peer evaluations must include satisfactory reports;
- Satisfactory advisement as evidenced by meeting deadlines, providing information that conforms to university, school, and accreditation standards and documentation in assigned advisee files;
- Appropriate curricular development;
- Other documents deemed appropriate by the candidate.

B. Research/scholarly activity

Candidates shall provide evidence of quality of research and scholarly activity in the discipline that will demonstrate the ability to meet the criteria for tenure and promotion to Associate Professor at the end of his/her probationary period.

C. Service

Candidates for reappointment shall provide evidence of participation in:

- Governance at school, college, and/or university level(s);
- Service to the profession and/or the community.

3. Promotion to Associate Professor Without Tenure

The School does not permit promotion to Associate Professor independent of tenure.

4. Indefinite Tenure and Promotion to Associate Professor

Clear and specific minimum criteria that a candidate must meet to be recommended for indefinite tenure:

A. Teaching, minimum criteria
• A median score of 4 on a 7-point scale on the question, "Overall, the instructor was an excellent teacher" from student evaluations for $\geq 70\%$ of credit hour load taught in each year preceding the application. Additionally, the applicant shall not receive a median score below 3 on a 7-point scale on the question, "Overall, the instructor was an excellent teacher" from the student evaluations for $>20\%$ of credit hour load taught in each year preceding the promotion request. In the event an instructor receives a median score below 3 on a 7-point scale, a written explanation may be provided and considered by the committee. In the event that the number of students responding is less than or equal to 15, the candidate may provide a written narrative analysis to accompany the score. Applicants may petition to exclude co-taught courses and courses taught for the first time.

• A minimum of one observation per academic year of online, classroom, or clinical teaching by peers who are at the applicant’s same rank or higher. Peer evaluators who observe teaching will need to be approved by the RTP committee in advance. A majority of school peer evaluations must include satisfactory reports.

• For each academic year, a peer evaluation of one or more of the following: course syllabi, bibliographies, assignments, tests/examinations, evidence of case-based teaching, technology-based instruction materials, clinical reports, or other instructional materials, as appropriate for the faculty member. A majority of school peer evaluations must include satisfactory reports.

B. Research/scholarly activity, minimum criteria

• A minimum of two refereed scholarly publications in peer reviewed refereed journals and a minimum of a third scholarly publication in the years preceding the application. When listing journals in the application, it should be indicated whether they are refereed or not, and whether they are regional, state, national or international publications. Candidates may ask the School RTP Committee for an opinion prior to submitting to a journal.

For the minimum two refereed scholarly publications, journals are generally considered to meet requirements if the journals:

- Are indexed in ComDisDome, PsychoINFO, MEDLINE PubMed or Academic Search Complete;
- List their abstracts in the Social Work Research and Abstracts, Psychological Abstracts, and Sociological Abstracts, educational indexes, or other comparable professional, research, and academic databases abstract and indexing publications.
The third (or more) scholarly publication(s) may include articles in refereed journals, chapters in scholarly books, or funded internal or external research grants.

C. Service, minimum criteria

Participation in a minimum of 3 unique and substantive activities from within the following list:

- School
- College
- University
- Profession-related community service to the public

5. Promotion to Professor

Clear and specific minimum criteria that a candidate must meet to be recommended for promotion to Professor.

A. Teaching, minimum criteria

- A median score of 5 on a 7-point scale on the question “overall, the instructor was an excellent teacher” from student evaluations for ≥70% of credit hour load taught in the three years since the last promotion. The applicant shall not receive a median score below 3 on a 7-point scale on the question “overall, the instructor was an excellent teacher” from the student evaluations for ≥20% of credit hour load taught in the three years preceding the application for promotion to professor. In the event that the N of students surveyed is less than or equal to 15, the candidate may provide a written narrative analysis to accompany the score. In the event an instructor receives a median score below 3 on a 7-point scale a written explanation may be provided and considered by the committee. Applicants may petition to exclude co-taught courses and courses taught for the first time.

- A minimum of one observation per academic year of online, classroom, or clinical teaching by peers who are at the applicant’s same rank or higher. Peer evaluators who observe teaching will need to be approved by the RTP committee in advance. A majority of school peer evaluations must include satisfactory reports.

B. Research/scholarly activity, minimum criteria

- At least four publications during the period prior to application for promotion to Full Professor since promotion to Associate Professor. Of these four publications, at least 2 must be published in refereed journals, and at least 2 may be chapters in scholarly books, editorially refereed journals, or successfully funded external research grants. Refereed journals are generally considered to meet requirements if the journals are abstracted listed in the Social Work Research and Abstracts, Psychological Abstracts,
and Sociological Abstracts, and or indexed in indexes such as ComDisDome, PsychoINFO, MEDLINE PubMed or Academic Search Complete. The refereed journals may also be indexed or abstracted in educational indexes, and other comparable professional, research, and academic databases abstract and indexing publications. Candidates may ask the School RTP for an opinion prior to submitting to a journal. When listing journals in the application it should be indicated whether they are refereed or not, and whether they are regional, state, national or international publications.

C. Service, minimum criteria

Participation in a minimum of 5 unique and substantive activities from within the following list:

- School
- College
- University
- Profession-related community service to the public

6. Materials for External Review

Materials sent to external reviewers shall include: the candidate’s curriculum vita, the candidate’s narrative statement, and additional supporting materials chosen by the candidate.

The language in the solicitation letter of the external reviewer from the committee shall read “In this regard our (reappointment/promotion/tenure) committee is most interested in your assessment of the quality and significance of these selected materials from the candidates file in the areas of teaching, research, and service.”

A. Teaching

The candidate is responsible for assembling and forwarding a representative sampling of materials that reflect his/her quality of teaching. This material shall include a table of quantitative scores on the question, “Overall, the instructor was an excellent teacher” from University approved student evaluations. The candidate may include other pertinent materials at his/her discretion.

B. Research/scholarly activity

The candidate is responsible for assembling and forwarding a representative sampling of materials that reflect his/her quality of scholarly activity. This material shall include copies of article(s) published during the probationary period to date. The candidate may include other pertinent materials at his/her discretion.

C. Service
The candidate is responsible for assembling and forwarding a narrative describing his/her participation in Governance at the school, college, and/or university level(s) and his/her service to the profession and/or the community.

Non-Tenure-Track (NTT) RTP Guidelines and Criteria

Reappointment and promotion for NTT faculty will follow the procedures and guidelines as stipulated in the current CBA.

1. Materials for the RP file: NTT faculty

Specific materials outlined below, in addition to those already specified in the CBA, shall be included in the NTT’s candidate’s RP file:

Teaching

All NTT faculty shall include identical materials in all RP files as outlined in Section 1.A for TT faculty.

Research/scholarly activity

NTT faculty who are required to complete research/scholarly activity, as outlined in the Letter of Offer/Appointment, shall include applicable materials as outlined in Section 1.B for TT faculty.

NTT faculty may also submit evidence of research/scholarship in their RP application even if such activities were not assigned in the most recent Letter of Offer/Appointment. Credit for such additional activities shall be awarded as additional accomplishments at the discretion of reviewers, but cannot be substituted for evaluation of any research/scholarship duties assigned in the Letter of Offer/Appointment.

Service activity

NTT faculty who are required to complete service activity, as outlined in the Letter of Offer/Appointment, shall include applicable materials as outlined in Section 1.C for TT faculty.

NTT faculty may also submit evidence of service in their RP application even if such activities were not assigned in the most recent Letter of Offer/Appointment. Credit for such additional activities shall be awarded as additional accomplishments at the discretion of reviewers, but
cannot be substituted for evaluation of any service duties assigned in the Letter of Offer/Appointment.

2. Annual Reappointment Criteria

Clear and specific measures of performance and indications of progress toward promotion are outlined below.

General guidelines:

4. The Reappointment Committee shall conduct its review of the candidate with an emphasis on the candidate’s progress toward promotion. Candidates shall be examined with greater scrutiny in each successive year of the reappointment deliberations.

5. The Reappointment Committee’s recommendation letters to the candidate and other faculty and administrative reviewers, as applicable, shall contain an explicit assessment of the candidate’s strengths and weaknesses, if any. In the event of a perceived weakness, the Committee shall recommend a plan to give the candidate an opportunity to correct any deficiencies before the time of application for promotion.

6. It is the responsibility of a NTT candidate for reappointment to provide evidence that he or she shall be able to meet the criteria for tenure and promotion to Associate Professor (if applicable) at the end of the probationary period.

A. Teaching

During each year of reappointment, all candidates shall provide the quantitative and qualitative documentation identical to that outlined in Section 2.A for TT faculty.

B. Research/scholarly activity

NTT faculty who are required to complete research/scholarly activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 2.B for TT faculty.

C. Service

NTT faculty who are required to complete service activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 2.C for TT faculty.

3. Promotion to Associate Professor of Instruction/Practice
Clear and specific minimum criteria that a candidate must meet to be recommended for promotion to Associate Professor:

A. Teaching, minimum criteria

When applying for promotion, all candidates shall provide the quantitative and qualitative documentation identical to that outlined in Section 4.A for TT faculty.

B. Research/scholarly activity, minimum criteria (as applicable)

NTT faculty who are required to complete research/scholarly activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 4.B for TT faculty.

C. Service, minimum criteria (as applicable)

NTT faculty who are required to complete service activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 4.C for TT faculty.

4. Promotion to Professor of Instruction/Practice

Clear and specific minimum criteria that a candidate must meet to be recommended for promotion to Professor:

A. Teaching, minimum criteria

When applying for promotion, all candidates shall provide the quantitative and qualitative documentation identical to that outlined in Section 5.A for TT faculty.

B. Research/scholarly activity, minimum criteria (as applicable)

NTT faculty who are required to complete research/scholarly activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 5.B for TT faculty.

C. Service, minimum criteria (as applicable)
NTT faculty who are required to complete service activity, as outlined in the Letter of Offer/Appointment, shall provide documentation of applicable accomplishments as outlined in Section 5.C for TT faculty.