

Effective January 1, 2019, the University of Akron will implement a new Policy for Externally Funded Proposal Submissions. This policy is intended to lessen the impact of research and other sponsored programs performed for outside governmental and private granting organizations on the General Fund.

### **ORA-00-08.01      Definition(s)**

**Proposal:** a document proposing a project, which may or not be research-related, that generally constitutes a request for sponsorship of that project.

**New Proposal:** for purposes of this policy, a proposal will be treated as new if it is not considered to be a continuation by the sponsor.

### **ORA-00-08.02      Related Regulations**

See other UA/ORA policies regarding proposal submission, including and not limited to:

- UA Rule [3359-2-05](#) University Research, copyright, and patent policy;
- [ORA-00-01](#) Cost Sharing;
- [ORA-00-02](#) Defining Sponsored Programs;
- ORA-01-02 Proposal Submission Deadline.

### **ORA-00-08.03      Reason for Policy**

Historically, the University of Akron has subsidized external research and other sponsored programs to a large extent, through funding graduate stipends and tuition, faculty summer salary, reduced or waived indirect costs, and in other ways. The purpose of this policy is to reduce the reliance on the general fund for those items which the sponsor allows.

Early in 2019, UA will implement a more comprehensive policy that integrates this new policy with faculty workloads and RTP/merit evaluations.

### **ORA-00-08.04      Policy Details**

- All submitted proposals must include at least \$5,000 of tuition and fees per year for each graduate and undergraduate student who will be paid a stipend from the project - when charging for tuition and fees are permitted by the funding organization (e.g., some NIH mechanisms such as the R15

emphasize the funding of undergraduate students).

- For all new proposals, for every two dollars allocated to non-academic-year faculty salary (e.g., summer salary), one dollar is required to be allocated to academic-year salary.
- Every new proposal with less than full IDC or tuition of \$5,000 per student per year will be reviewed by the Provost or Provost's designee. Based on management and budget decisions, the proposal may or may not be allowed to be submitted. To avoid a review, the PI may arrange for funds from other sources (such as college, department, or PI IDC or other accounts; external sources; by increasing the academic year salary on proposal; etc.) to offset the missing IDC or tuition.

## **ORA-00-08.05      Processing Procedures**

ORA advises everyone submitting a new proposal to do so at the earliest opportunity. Additional review time will be necessary to ensure every new proposal meets these requirements

ORA is creating a FAQ to be posted online answering questions. Please submit your questions regarding this policy to Katie Watkins, Asst. VP for Research, or Emily Njus, Manager, Pre-Award Research Administration.